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APPLICATION NUMBER

FILING/RECEIPT DATE

· FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/954,987

09/17/2001

Stefan Bauer

C1041/7016 (AWS)

23628
WOLF GREENFIELD & SACKS, PC

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CONFIRMATION NO. 7680 FORMALITIES LETTER

OC0000000008375416

Date Mailed: 06/28/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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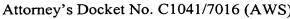
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PART 2 - COPY TO BE RETURNED WITH RESPONSE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Bauer et al.

Serial No.

09/954,987

Conf. No.

7680

Filing Date

September 17, 2001

For

PROCESS FOR HIGH THROUGHPUT SCREENING OF CpG-

BASED IMMUNO-AGONIST/ANTAGONIST

Art Group

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA, 22202, on the 9th day of August, 2002.

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Transmitted herewith is/are the following document(s):

- [x]Copy of Notice to Comply
- Amendment [x]
- Statement Pursuant to C.F.R. § 1.825(b) [x]
- [x] Substitute Sequence Listing
- Substitute Computer Readable Form of Sequence Listing [x]
- [x]Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If it is determined a fee is required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Alan W. Steele, Reg. No. 45,128

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, MA 02210-2211 (617) 720-3500

Docket No. C1041/7016 (AWS)

Dated: August 9, 2002

XX: 08/28/02 628971.1



Attorney's Docket No. C1041/7016 (AWS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Bauer et al.

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STATEMENT PURSUANT TO 37 C.F.R. § 1.825(b)

This statement is made pursuant to 37 C.F.R. § 1.825(b). Applicants enclose herewith a substitute paper copy of the Sequence Listing and a substitute computer readable form (CRF) copy of the Sequence Listing in the above-referenced Application. Applicants' attorney states that the content of the sequence listing information recorded in computer readable form is identical to that of the paper copy of the Sequence Listing.

Respectfully submitted,

Alan W. Steele

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ATTORNEY DOCKET NO. C1041/7016 (AWS)

Date: August 9, 2002 X: August 28, 2002